

# FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Alito, Samuel A	2. Court or Organization U.S.Ct.of App. for the 3d Cir.	3. Date of Report 5/12/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge, Active	5. Report Type (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. Courthouse & P.O. Bldg. P.O. Box 999 Newark, N.J. 07101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Advisory Board Member	Association of the Federal Bar of N.J.
2.	

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

RECEIVED  
MAY 14 12 53 PM '04  
FINANCIAL  
DISCLOSURE  
OFFICE

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Alito, Samuel A

Date of Report

5/12/2004

**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	Seton Hall Law School (teaching)	\$6,000
2.			

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Caldwell-West Caldwell Board of Education (spouse's teaching)

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Pepperdine Law School	Spoke to classes, Malibu, CA, March 17-18 (Transportation, lodging, meals )
2.	Princeton University	Spoke at conference, Williamsburg, VA, June 19-22 (Lodging and meals)
3.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Alito, Samuel A

Date of Report

5/12/2004

**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.) **NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.) **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting  
Alito, Samuel A

Date of Report  
5/12/2004

**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. NJ Healthcare Rev. Bonds	A	Interest	J	T					
2. US Savings Bonds Series EE		None	K	T					
3. Vang. Tax Ex. Mny. Mkt. Fund	A	Interest	L	T					
4. Vang. Inter. Term Tax Ex. Fund	C	Int.	L	T					
5. Vang. Ins. L. T. Tax Ex. Fund	B	Int,div, cg	K	T					
6. Vang. Star Mut. Fund	A	Dividend	K	T					
7. Vang. Wellington Mut. Fund	B	Dividend	L	T					
8. Smith Barney Money Funds Cash Port.	A	Dividend	J	T					
9. United Trust Bank Acct.	A	Interest	K	T					
10. Vang. NJ Tax Ex. Mny. Mkt.	A	Interest	L	T					
11. Vang. NJ Ins. L. T. Tax Ex. Fund	B	Int.,div, cg	K	T					
12. Vang. Small Cap. Stock Fund	A	Dividend	L	T					
13. Vang. Total Stock Mkt. Index F.	A	Dividend	L	T	Buy	9/25	J		
14. Windsor II	A	Dividend	K	T	Buy	9/25	J		
15. Fidelity Eq.-Inc. II Fund	A	Div.	K	T					
16. Windsor II	A	Dividend	K	T	Buy	9/25	J		
17. ITC Common Stock	A	Dividend	K	T					
18. Vang. Tax Ex. Mny. Mkt.	A	Interest	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000  
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000  
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market  
 (See Column C2) U = Book Value V = Other W = Estimated

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 2

Name of Person Reporting  
Alito, Samuel A

Date of Report  
5/12/2004

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Vang. Tax Ex. Mny. Mkt.	A	Interest	L	T					
20. Disney Common Stock		None	J	T					
21. Vang. Inter.- Term. Bond Index F.	B	Div., cap.g.	K	T					
22. Citibank Deposit Program	A	Interest	J						
23. BMY Common Stock	A	Dividend	J	T	Gift	3/11	J		
24. MCD Common Stock	A	Dividend	J	T	Gift	3/11	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Alito, Samuel A

Date of Report

5/12/2004

**VII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

**FINANCIAL DISCLOSURE REPORT**

Name of Person Reporting

Alito, Samuel A

Date of Report

5/12/2004

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/13/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)